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Table of contents

1. Welcome to COPA-DATA help				
2.	zenoi	n Securi	ty Guide	5
3.	Prote	ect the I	Т	7
	3.1	Putting	a computer out of operation	8
	3.2	Operati	ing system	8
		3.2.1	Secure installation and operation of the operating system	9
		3.2.2	User Administration	12
		3.2.3	Windows security settings	13
		3.2.4	Specific Windows settings	15
	3.3	Installat	tion zenon	15
		3.3.1	Firewall exceptions	16
		3.3.2	Ports for zenon and zenon Analyzer	18
	3.4	Microso	oft SQL server	21
	3.5	Hardwa	are	21
4.	Prote	ect zeno	n	22
	4.1	Support	ted Operating systems for zenon	23
	4.2	Runtime	e	26
		4.2.1	Protect zenon file system	26
		4.2.2	User Administration	28
		4.2.3	Encryption in the network	30
		4.2.4	zenon API	36
		4.2.5	IEC 61850	36
		4.2.6	Voice over IP - Message Control	36
	4.3	Editor		37
		4.3.1	Encryption	37
		4.3.2	Editor computer without distributed engineering	37
		4.3.3	Network: Multi Homed	38
		4.3.4	Project backup and workspace backup	38
		4.3.5	History of Changes	38
		4.3.6	Project versioning with XML export	38



5	Furth	er infori	mation and consulting	41
	4.5	Monitor	ring devices with SNMP	. 40
	4.4	PLC com	nmunication	. 39
		4.3.9	Import from variables	. 39
		4.3.8	Runtime tests	. 39
		4.3.7	User Administration	. 39



1. Welcome to COPA-DATA help

GENERAL HELP

If you cannot find any information you require in this help chapter or can think of anything that you would like added, please send an email to documentation@copadata.com (mailto:documentation@copadata.com).

PROJECT SUPPORT

You can receive support for any real project you may have from our Support Team, who you can contact via email at support@copadata.com (mailto:support@copadata.com).

LICENSES AND MODULES

If you find that you need other modules or licenses, our staff will be happy to help you. Email sales@copadata.com (mailto:sales@copadata.com).

2. zenon Security Guide

Security is an important issue for COPA-DATA. zenon, zenon Logic and zenon Analyzer are therefore analyzed for security risks not just internally, but also together with universities, research institutes and security services providers. Weak spots that are identified are rectified immediately.

The security of a system is always only as strong as its weakest link. In accordance with the **Security in Depth** principle, measures are carried out at different levels to minimize security risks.

The points where security measures can be made are very diverse and depend on the respective situation. The idea, for example, that a firewall can be the only security measure to protect the production equipment, has now been superseded. Security measures can take many different forms. For example:



- ► Activation of security functions.
- ▶ Use of additional security products.
- ▶ Deactivation of functions that are not needed.
- ▶ Logging and monitoring of all communication.
- ▶ Isolation of areas, both network areas and physical areas.
- Switching off systems if other security measures cannot reduce risk with reasonable effort.

In order to continually improve security, we recommend:

- ▶ Regular validation and reevaluation of the possible risks and measures carried out
- ► Application of norms and standards
- ▶ Possible support from a security services provider
- ▶ Reevaluation of risks and measures each time a system is changed

Penetration Tests can be used to check whether the measures carried out offer sufficient protection.

This manual is primarily concerned with the system on which zenon Runtime is installed. It informs you of possible risks and strategies to rectify these. There are also recommendations for general security measures. You should however consider measures beyond these.

The protection of your automation environment includes, among other things, the following important areas:

- 1. IT-Systems general:
 - Protection of your operating system and all additional software such as SQL server.
 - Creation and anchoring of general rules for each item of software, the network and users.
- 2. HMI/SCADA with zenon:
 - Protection of the Runtime and its communication in the network.
 - Protection of the Editor.

HOW CAN COPA-DATA SUPPORT YOU WHEN PROTECTING YOUR SYSTEMS?

IN PRINCIPLE, THE FOLLOWING APPLIES:

- ► COPA-DATA offers functions that make attacks on zenon server, clients and the communication in the network between zenon products more difficult.
- ► The communication between Runtime and control can only be protected if this is supported by protocol, drivers and PLC.
- ▶ zenon does not take over the task of taking care of the general IT security. This is the IT experts' task. If an attacker has overcome the IT hurdles and has access to the local data system, then an attack on zenon can also be carried out with appropriate expertise.



▶ If there is unauthorized file access with administrator rights, the zenon application can no longer guarantee the security and stability of the system.

THIS IS HOW COPA-DATA MAKES PROVISIONS

COPA-DATA:

- works together with university departments, universities of applied sciences and security experts
- ▶ has zenon reviewed also externally for security risks
- ▶ keeps a close watch on all attacks on automation software and security tests
- Analyzes known weak spots of other systems for their effect on zenon, zenon Logic and zenon
 Analyzer
- ▶ has been working together on the topic of security for years with other suppliers e.g. NERC

COPA-DATA provides information about how your products can be used securely. Neither COPA-DATA nor your products offer protection against negligent configuration.

Recommendation: Obtain advice from security experts if the necessary expertise is not or is only partially available in your company.

3. Protect the IT

The security of COPA-DATA products also depends on the security of the IT environment in which it is used. COPA-DATA recommends to restrictively protect operating systems, networks and physical access to systems and computers using the expertise of a security expert.

COPA-DATA can only advise you on the security-related configuration of COPA-DATA products. The following general recommendations for IT systems are based on experience and analyses of COPA-DATA, but do not replace an actual analysis and evaluation of your system by security experts.



Attention

Security loopholes and threats can change very quickly. Recommendation:

- ▶ Use the help of knowledgeable experts for the security of your equipment and systems.
- Note also the security standards and guidelines from Microsoft.



3.1 Putting a computer out of operation

Security must also be ensured with computers that are taken out of operation. Ensure that, in your company, there is a defined process that regulates how systems on which zenon are installed are taken out of operation. Ensure that this process is carried out and adhered to.

For taking systems on which zenon is installed, COPA-DATA recommends the following steps:

- ▶ Examine the existing data.
- Back up the data still required.
- ▶ Check to see whether the backups created can also be restored.
- Physically destroy the data media. This prevents saved information being able to be subsequently read.
- ▶ Make any data backups on other systems or data media unusable.

3.2 Operating system

The COPA-DATA products and their components can run on different systems and in different configurations:

From a Runtime with a zenon standalone project on a scrapped system with a Windows desktop operating system, to a system with Windows server operating system, zenon Runtime server and zenon web server with zenon web clients on systems that are in different networks. However COPA-DATA products can also be used on systems with operating systems other than Microsoft Windows, for example the Everywhere App or the HTML 5 client.

GENERAL NOTES

For a current overview of technical, organizational, personal and infrastructure notices on basic IT protection, we recommend the German **Bundesamtes für Sicherheit in der Informationstechnik (BSI)**.

The DES **BSI** information is generally in German, but sometimes available in other languages too. Additional information:

- ► BSI general basic protection: https://www.bsi.bund.de/EN/Topics/ITGrundschutz/itgrundschutz_node.html (https://www.bsi.bund.de/DE/Themen/ITGrundschutz/itgrundschutz_node.html)
- ► BSI international basic protection: https://www.bsi.bund.de/DE/Themen/ITGrundschutz/ITGrundschutzInternational/itgrundschutz international_node.html (https://www.bsi.bund.de/DE/Themen/ITGrundschutz/ITGrundschutzInternational/itgrundschutz international_node.html)



- ► "M 4 Hardware und Software" range of measures:
 - https://www.bsi.bund.de/DE/Themen/ITGrundschutz/ITGrundschutzKataloge/Inhalt/_content/m/m04/m04.html
 - (https://www.bsi.bund.de/DE/Themen/ITGrundschutz/ITGrundschutzKataloge/Inhalt/_content/m/m04/m04.html)
 - Focuses on practical backup methods.
- International technical specification from the **IEC/TS 62443** range, especially the parts from the **IEC/TR 62443-3** range.

MICROSOFT OPERATING SYSTEMS

Microsoft provides, on its website, comprehensive information and also tools for backup and secure operation of your operating system.

3.2.1 Secure installation and operation of the operating system

The operating system is, like zenon, a component of the automation system and contributes to the overall security of the system. The requirements for backup of the installation and operation of the operating system differ in complexity and depend on the type:

- ► Standalone client operating system
- ▶ Client operating system in a domain group
- Server operating system

GENERAL NOTES

The IT department may be able to support you with the secure installation and secure operation of computers with zenon, zenon Logic or zenon Analyzer. In doing so, please note the special features of the systems in the production environment: For example, an email server can be restarted in the night without problems in order to install security updates. For a system with zenon Runtime, this is generally only possible by agreement and during a maintenance interval.

Recommendation: Commission expert people with the planning, design, installation and operation of the operating system for the computers in your automation system. This can also include computers on which the zenon Editor is used.



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Information

Many computers come with preinstalled operating systems. Reinstall the operating system from scratch before installing the zenon Runtime or Editor.

Background: Many providers install many different tools and programs by default, which are not necessary for zenon and may increase security risks.

Recommendation: Always only install the components and programs required for the operation.

ADDITIONAL NOTICES

This section provides additional notices for operating systems and their components in conjunction with zenon.

EQUIPMENT ADMINISTRATION

Ensure complete documentation of which:

- ▶ Systems are used in your equipment
- Operating systems are used on the systems
- ▶ Roles the systems fulfill
- ▶ Software products are installed, the exact version thereof

If it is necessary to replace a system, this information helps get get the system able to run again. For example: In oder for a certain driver to run in one of your zenon projects, certain additional software must be installed. In addition, a Build of the zenon software is installed, which rectifies a problem in your project configuration. If this information or backups of these setups are missing, this makes putting it back into operation longer.

ANTI-VIRUS

Real-time protection from anti-virus software can slow processes if these processes access the data medium. Check the interaction of anti-virus software with zenon Runtime. If necessary, defined exceptions for real-time protection in the anti-virus software to enable zenon Runtime to have access to Runtime data.

Establish processes in the company that define what exactly is to happen if anti-virus software discovers malware.

Note: With a false-positive report, cleaning of the system can, under certain circumstances, disable the computer or impair functionality. If an executable file of zenon software is detected as possibly infected, check the validity of the digital signature first. In the event of doubt, contact your local COPA-DATA support.



If malware is in fact discovered, it is not sufficient to delete the infected file or prevent access to the file. There must also be an investigation to find out how the malware got into the system, how far it has spread and what damage it may already have caused.

USER ROLES

For the operation of zenon Runtime, the limited rights of a user from the Windows **User** user group are sufficient. Ensure that the user who is executing Runtime only belongs to this user group.

OPERATING SYSTEM UPDATES

In principle, it is recommended that the operating system is always kept current and that the security updates at least are installed. Check updates on your own system before installation for possible interaction with zenon, zenon Logic or zenon Analyzer .

Check in time to see what it means for the systems in your company if an operating system is discontinued and consequently no more security updates are provided by the manufacturer. Plan updates for systems carefully and check the systems in a test environment. The current version of zenon always supports the operating systems available at the time of release and allows the conversion of older <CD_PROJECTNAME> projects to the respective current version. Isolate systems that cannot be updated and undertake measures to increase the security of such systems.

DIGITAL SIGNATURE

All executable files of zenon software are digitally signed. With this signature, it is possible to check whether the software still corresponds to the original. The digital signature can also be used, under certain circumstances, by Application Whitelisting software, in order to prevent the execution of third-party software or manipulated software.

INTERNET CONNECTION

An Internet connection is not required for operation of zenon software.

Recommendation: Never connect systems in productive use to the Internet directly. If a connection is absolutely necessary, use a DMZ at least.

Define mechanisms and processes that also allows installations without an Internet connection for:

- Security updates for the operating system
- ▶ Updates of signatures of anti-virus software
- Updates of zenon software



BACKING UP DATA AND FILES

Create backups of not just Runtime data, but also compiled Runtime files. This is applicable most of all if you do not have project backups or workspace backups. Also consider whether you want to back up log data from the zenon diagnosis server and Windows events, in order to subsequently establish what happened in the event of a problem. Take good care of these backups, protect the backups from unauthorized access and ensure that they can also be restored again.

BACKUP OF INSTALLATION MEDIA

Create backups of installation media and also back up possible Patches/Builds for the COPA-DATA software that you have installed. Installation sets for required third-party software should also be backed up. In the event of an emergency, a system can also be set up from scratch without an Internet connection using this.

SYSTEM BACKUP

Create a backup of the system each time a change is made. Take good care of the backups and note who has access to the backups. Also check whether the backup can actually be restored. A system backup is only for restarting the system in the event of an emergency. It can also serve to carry out a forensic comparison with the current system or tests in a test environment.

ADDITIONAL SOFTWARE

Restrict, on the systems on which zenon software is used, the use of further software to what is absolutely necessary and check for interaction between zenon and other products.

MANUAL INSTALLATION OF REMOVABLE MEDIA

Windows makes it possible to shut off automatic access to removable media. Each new piece of removable media must be permitted on a one-off basis by an administrator, in order for this to be able to be used. If removable media actually needs to be used, this mechanism reduces the risk of unwanted removable media being used in the system.

3.2.2 User Administration

COPA-DATA recommends to configure users and passwords as freely as necessary and as restricted as possible.



USER ADMINISTRATION

For the operation of zenon in general 4 Windows users are required:

Role	Example	Rights
System Administrator	zenon_ADMIN	Administrator
System Services	zenon_SERVICE	Standard user
System Engineer	zenon_ENGINEER	Standard user
User (for Desktop Login or Autostart)	zenon_USER	Standard user

These users are also employed for configuring the SQL server.

You can read how you administer users in zenon in the user administration manual in the zenon login and user administration in Runtime chapter.

PASSWORDS

Passwords should require an appropriate length and strength. This includes the use of capital letters, small letters, characters and special characters.

Recommendation:

- ▶ Already create a password for the local administrator during the installation.
- Enforce a password for every account, including guest accounts.
- ► Force administrators to use particularly strong passwords.
- ► Force user to use particularly strong passwords.
- ▶ Inform users on how to memorize strong passwords without writing them down.



Attention

Note:

- It is best to just use signs which can be entered with any keyboard, so for instance no German umlauts.
- ▶ Passwords for Autologon accounts may not expire automatically.

3.2.3 Windows security settings

Windows offers a number of security settings. With regard to this, please also read the Microsoft documentation.



Recommendations:

- ▶ Deactivate Autorun for all drives.
- Prevent the automatic execution of updates for the operating system and applications. Only install updates after you have examined them for smooth operation with their applications in a test environment.

Please note that some Service Packs/Updates can reactivate the **automatic update** property without notifying the user.

- ▶ Deactivate all non-essential services.
- ▶ Set a strong password for every account.
- Also create passwords for deactivated guest accounts.
- Disable automatic login.
- Prevent network access to the accounts of local administrators and guest accounts.
- Protect shared printers.
 Only enable the printer for a precisely-defined group of users.



Information

Group policy

Many security-related settings can be adjusted via group policy. It also depends on the operating system which settings are selected in which place. Please find details on this in the corresponding Microsoft documentation.

You can also find information online. For example:

- Group policy for beginners:
 - http://www.microsoft.com/download/en/details.aspx?id=20092 (http://www.microsoft.com/download/en/details.aspx?id=20092)
- Microsoft Technet Security and Updates: http://technet.microsoft.com/en-us/library/cc498723.aspx (http://technet.microsoft.com/en-us/library/cc498723.aspx)
- Mircosoft Technet Solution Accelerators: http://technet.microsoft.com/en-us/library/cc936627.aspx (http://technet.microsoft.com/en-us/library/cc936627.aspx)
- Microsoft Compliance Manager: http://technet.microsoft.com/en-us/library/cc677002.aspx (http://technet.microsoft.com/en-us/library/cc677002.aspx)



3.2.4 Specific Windows settings

Increase the system security by adhering to some specific settings for Windows. With this regard please also read the Microsoft documentation.

Recommendations for increased Windows system security:

- Always use the classic logon screen. Entering the user name and password is required and it is not displayed which accounts are available.
- Deactivate the following functionalities:
 - Remote support and remote control.
 - Automatic updates of the root certificates.
 - Automatic Updates during an installation.
 - Help and Support Center.
 - Service for target server.
 Only activate this service if a computer must actually act as a time server.

3.3 Installation zenon

Before the installation of zenon, ensure that the installation medium corresponds to the original of COPA-DATA. Create secure checksums of downloads and contact COPA-DATA to compare the checksums.

Note: Even if you have obtained a file from s source that you think is secure, there is the possibility the the file has been manipulated during transport.

The following assumptions are made during installation:

- ► The system on which the product is installed is free of malware such as viruses, Trojan horses, etc.
- ▶ There are no software products on it that are not required for operation.
- ▶ The system is in a protected environment without direct access to the Internet.

Note:

If you do not install the software in the standard folder, ensure that only users with administrator rights can amend files in, or add files to the selected folder. This is ensured by Windows in the standard folders **%Program Files%** and **%Program Files (x86)**%.



3.3.1 Firewall exceptions

Some exceptions in the Windows firewall are configured during installation. Depending on the area of use, the applications used and the functionality, these exceptions are not necessary under certain circumstances.

The table lists the exceptions and information on their necessity.



Program or service	Executable file	Comment
CodeMeter Runtime Server	C:\Program Files (x86)\CodeMeter\Runtime\bin\CodeM eter.exe	Necessary.
CodeMeter Runtime Server	C:\Program Files (x86)\CodeMeter\Runtime\bin\CodeM eter.exe	Necessary.
CodeMeter Runtime Server	C:\Program Files (x86)\CodeMeter\Runtime\bin\CodeM eter.exe	Necessary.
CodeMeter Runtime Server	C:\Program Files (x86)\CodeMeter\Runtime\bin\CodeM eter.exe	Necessary.
CodeMeterFWEx1	C:\Program Files (x86)\CodeMeter\Runtime\bin\CodeM eter.exe	Necessary.
zenon Logic Runtime	STRATONRT.exe	Only necessary if the remote computer communicates with zenon Logic Runtime on this computer
zenAdminSrv - Administration service	zenAdminSrv.exe	Not necessary.
zenDBSrv - Database service for SQL Server communication	zenDBSrv.exe 32 bit	Only necessary if distributed engineering is used.
zenDBSrv - Database service for SQL Server communication	zenDBSrv.exe 32 bit	Only necessary if distributed engineering is used.
zenLogSrv - Diagnosis server	zenLogSrv.exe	Only necessary if: This computer is defined as a remote logging server or If access to this computer is required by a remote computer with the Diagnosis Viewer
zenNetSrv - Network communication service	zenNetSrv.exe 32 bit	Only necessary if Runtime is running on this computer as a Server 1 or Server 2.
zenNetSrv - Network communication service	zenNetSrv.exe 64 bit	Only necessary if Runtime is running on this computer as a Server 1 or Server 2.



zenon Process Gateway	zenProcGateway.exe	Only necessary if the zenon Process Gateway is used on this computer.
ZenSysSrv - Transport service	zenSysSrv.exe 32 bit	Only necessary if remote transport to this computer is required.
ZenSysSrv - Transport service	zenSysSrv.exe 64 bit	Only necessary if remote transport to this computer is required.
zenVNCSrv - Remote Desktop Service	zenVNCSrv.exe	Only necessary if remote desktop to this computer is required.

Note: See also the **Ports for zenon and zenon Analyzer** (on page 18) chapter.

3.3.2 Ports for zenon and zenon Analyzer

During the installation of zenon, exceptions are created in the Windows firewall by the Setup for some applications and services that open a TCP Listening Port.



Information

After installation, configure the exceptions in the Windows firewall more restrictively, appropriate to their environment and to the required applications and services.

On multi-homed systems with multiple network cards, zenon applications and services, with their default settings, open the TCP Listening Port for all network cards present in the system. However communication throughout all network cards is often not necessary and not desirable.

Note: After installation, use the **Startup Tool** to configure the TCP Listening Ports for the respective services and applications, according to their environment and requirements. Only allow communication between the network card or IP that is required for this. If you assign a service the **local loopback** adapter or the IP address 127.0.0.1, you only allow local communication. This way, local diagnosis server access to local diagnosis clients can be limited.



Q

Information

If a port that is different to the standard port is configured for a connection, this does not mean that an unwanted connection is no longer possible. A possible attacker only needs some more time to find the correct port.

When using non-standard ports, the standard port can be used as a **Canary** under certain circumstances. To do this, you monitor the standard ports in an **Intrusion Detection System** that you may have and set alarms for any attempt to connect to these ports. Such connection attempts can be caused by:

- ▶ An incorrectly-configured computer
- By an attacker who is using the default ports

PORTS

Application	File	Goal	TCP-port	UDP-Port
Network project	zenNetSrv.exe	Runtime communication.	1100	
Remote Transport	zenSysSrv.exe	Data transfer via Remote Transport (editor) and Diagnosis Server.	1101	
zenon Remote		Direct connection with other	5600	
Desktop		computers including the possibility to monitor and take over control.	5610	
zenon Web Server	zenWebsrv.exe	On-site logging machine between Web Client and Runtime	1102	
Distributed Engineering	zenDBSrv.exe	Distributed Engineering	1103	
CodeMeter WebAdmin		Configuration of CodeMeter Dongles.	22350	
WibuyKey		Monitoring WibuKey Dongles.	22347	
SQL server with multi-user projects		Administration of objects being executed.	1433	1434

Recommendation: Open only ports required for a smooth operation.

Ports can be amended in the **Startup Tool** in the **Listening ports** tab. Note in this case that all devices affected must be amended!

STANDARD PORTS IN COPA-DATA PRODUCTS

The following ports are used by COPA-DATA products by default:



Application	Standard port
zenon	
Network Service	1100
Transport Service	1101
WEB Service Classic	1102
DB Service	1103
SQL Browser Service, (for distributed engineering in the Editor)	1434
zenAdminSrv.exe	50777
Logging Service	50780
zenVNC.exe	5600 - 5610
SNMP Trap Service	50782
zenLicenseSr	50783
zenLicenseStub	50789
zenLicenseCenter	50689
WEB Service Tunneling	8080
zenon Logic	
Assigned port for zenon Logic or straton depends on the project and service.	1200 - 1210 4500 - 4510
E.g.: First zenon Logic project occupies 1200 and 9000, second project 1201 and 9001 etc.	7000 - 7010 9000 - 9010
zenon Analyzer	
Administration Service	50777
Analyzer Connector Service	50778
Analyzer License Service	50779
ZAMS	50781
Drivers	
Driver Simulation	6000 - 6020
Process Gateway OPC Server	135
Process Gateway SNMP	161
Process Gateway Modbus	502
Process Gateway IEC60870-5 104 slave	2402
Process Gateway DEC	5555



Process Gateway DNP3 Slave	20000
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Note: zenon drivers that communicate by means of Ethernet use TCP and thus need authorizations in the firewall in this case, regardless of the port used.

3.4 Microsoft SQL server

The Microsoft SQL Server is only required for the zenon Editor and for the zenon Analyzer. It is also installed during the installation of the zenon Editor or zenon Analyzer. The respectively required MS SQL Server is also contained on the installation medium. In the installation of the zenon Runtime no SQL server is installed.

The version of MS SQL Server that is installed is the one that is current at the time the installation medium is created. COPA-DATA recommends to install patches and updates after the installation.

In doing so, please note:

- ▶ Load and install updates and patches individually instead of on an automated basis.
- ▶ Check all updates and patches for unwanted effects on a test system before installation.
- Only implement updates and patches on a productive system after they have been successfully tested.



Attention

For the maintenance and backup of the SQL server, use the corresponding documentation and guidelines from Microsoft.

3.5 Hardware

Also protect the hardware from attacks. For this purpose, also adhere to the documentation of the corresponding devices.

Recommendations for the hardware protection:

- Protect access to the BIOS with a password.
 The BIOS contains some areas relevant for security. Only the administrator should be authorized to change these settings.
- Protect the start process with a password, if there is no good reason not to do this. Reasons not to set a boot password:
 - A server should automatically boot after a system error.
 In this case, physically protect the server by means of a locked cabinet.



- Dual boot is not possible. Only the pre-set operating system can be booted.
- Complete encryption of the hard drive, which demands the entry of a password before booting.
- Several users share the computer.
- ► Avoid wireless communication.

It is possible to intercept the communication of wireless keyboards (radio waves, infrared, Bluetooth) to and from the system, even from a great distance.

- Avoid biometric access controls; instead, use PKI-based smart cards. It is now known that biometric access checks for computers can be circumvented in several ways. They should not be used in productive systems. Recommendation: Use PKI-based smartcards. These can also be linked to biometric checks. For example, a fingerprint reader on the smartcard reader can allow the access to the smartcard. zenon supports the logon per chip ident system for Runtime.
- ▶ Deactivate wake-on LAN if it is not needed for administrative purposes.
- ▶ Deactivate Hardware virtualization.
- Deactivate interfaces for removable media (USB).
 Note: You can also get COPA-DATA dongles for internal USB ports for licensing.
- ▶ Protect physical access to your systems. The room with server cabinets should be locked and access should be monitored. Replace the standard locks that come with server cabinets with security locks. Cabinets for equipment computers and controllers should be locked. Cable connections should also be protected.
- ▶ For unmanned areas, use camera systems with motion detection and alarming.
- ► Consider which components you store, so that critical components can be replaced, even when there are supplier bottlenecks.
- ► Ensure that you are informed if a product is discontinued or can no longer be supplied by the manufacturer and create a replacement strategy.

4. Protect zenon

zenon ensures up-to-date protection with:

- ► Separation of Editor and Runtime: The Editor and Runtime are administered separately in zenon. An infection of the Editor database by an attacker does not automatically lead to an infection of Runtime.
- Encryption (from version 7.00):
 Optional strong encryption of communication in the zenon network and of communication between Editor and Runtime.



► Encrypted passwords:

The password for the Editor database can be stored in an encrypted form.

SOL server:

The MS SQL server is only required on computers with the zenon Editor for configuration or for the zenon Analyzer server. The zenon Runtime does not require an SQL server. Only install the MS SQL server if you need it for operation of zenon and configure it restrictively.

- ► File signature (from Version 7.00 on): checking of the file signature of the Runtime.
- Authentication (from Version 7.00 on):
 Only authenticated clients will obtain access to a zenon server.
- ▶ Limited rights:

The zenon software is able to run in the user context of a standard user.

- ► Start as a service without GUI: zenon Runtime can be configured so that it starts as a service with the operating system without a user interface. This option can be used for systems on which Runtime runs as as server.
- ► Clients or zenon web clients on the terminal server:

 <CD_PROCUCTNAME> Runtime as a client and the zenon web client can run one one terminal server. A thin client can thus be used for an operating station. The administration and protection of thin clients and terminal servers can be central.
- ► General functionalities such as:
 - Configurable ports
 - Components that can be deactivated, such as COM Interface and Everywhere Server
 - Current communication standards with security aspects, such as OPC UA

4.1 Supported Operating systems for zenon.

Which operating systems are supported depends on the applied zenon version. zenon is continuously being developed also with regard to security. It is recommended to use the latest zenon version on a current operating system with the latest patches.



Information

Many computers come with preinstalled operating systems. Reinstall the operating system from scratch before installing the zenon Runtime or Editor.

Background: Many providers install many different tools and programs by default, which are not necessary for zenon and may increase security risks.

Recommendation: Always only install the components and programs required for the operation.

The following information refers to the zenon version.



Operating system	zenon Editor	zenon Runtime	zenon Web Server	zenon Web Client	zenon HT Web Eng
Windows CE 6.0 (ARM and x86)	Cannot run	zenon Operator	Pro Light only	Cannot run	Cannot ru
Attention: Is only supported up to version 7.20. From version 7.50, Runtime files for 7.20 must be created.		only			
Windows Embedded Compact 7 (ARM and x86)	Cannot run	zenon Operator	Pro Light only	Cannot run	Cannot ru
Attention: Is only supported up to version 7.20.		only			



created.

Attention

From version 7.50, Runtime files for 7.20 must be

If you always use the latest version (Service Pack) of your operating system, you not only avoid compatibility issues but also security problems.

Automatic Windows updates influence the installation

If an update of the Windows operating system is carried out while the zenon setup is running, it can cause problems during the zenon installation. To prevent this:

- deactivate the automatic Windows update during the time of installation or
- carry out the Windows update before starting the zenon installation

MICROSOFT .NET FRAMEWORK

zenon needs the Microsoft .NET Framework 3.5 or higher for VSTA and WPF. If the framework is not installed, an attempt is made to install it automatically. If the .NET Framework is an operating system component, it cannot be installed automatically. The setup then displays an error message and aborts. The .NET framework must be activated manually for these operating systems: Control panel - >Programs and functions - >Activate or deactivate Windows functions.

Overview of supported servers and desktop operating systems up to Windows 8.1



	Windows Embedded 7/8 Standard	Windows Embedded 8.1 Pro/Industry	Windows 7 SP1/Windows 8 and 8.1/ Server 2008 (R2) SP1, 2012 and 2012 R2
Editor		X	X
Runtime	Х	X	X
Runtime for Windows CE			
Web Server	Х	Х	X
Web Client	Х	X	X
HTML Web Engine	Х	X	X

٨

Attention

Windows CE is no longer supported from version 7.50. zenon CE version 7.20 is installed. To use this, the Runtime files for version 7.20 must be created.

Processors supported in the different Windows CE operating systems are listed in chapter CE versions/supported processors. You can find installation instruction for Runtime under Windows CE in chapter Runtime.

Overview of supported desktop operating systems for Windows 10

Windows version	zenon Supervisor / Operator	Everywhere by zenon	zenon Logic Runtime
Windows 10 Home	x	X (PC)	x
Windows 10 Mobile		X (Mobile)	
Windows 10 Pro	x	X (PC)	x
Windows 10 Enterprise	x	X (PC)	x
Windows 10 Education	x	X (PC)	x
Windows 10 Mobile Enterprise		X (Mobile)	
Windows 10 IoT Core			x
Windows 10 IoT Enterprise (Windows 10 Enterprise LTSB)	x	X (PC)	x

Key:

- ▶ **x**: available
- ► -: Cannot run



4.2 Runtime

zenon Runtime is protected in operation with the zenon user administration (including connection to Active Directory) by:

- ▶ authentication of the client at the server (from version 7 on)
- ► Strong encryption (on page 30) (from version 7 on)
- ▶ Data storage in binary format
- no SQL database used (only required for the zenon Editor)

You can further increase the protection by:

- ▶ limiting the access to the zenon Runtime folder (on page 26)
- disabling the zenon API (on page 36)

4.2.1 Protect zenon file system

The access to the zenon file system should be strongly protected so that data cannot be manipulated externally. Only one Windows user should have read and write access. All other users should not have rights in the zenon Runtime folder. Operators in the Runtime log on as zenon user.

In order to limit access to the file system:

- 1. Only create a single Windows user (for instance: **zenon_ADMIN**) who is authorized to start zenon as well as to read and write in the zenon Runtime folder.
- 2. Disable access to the zenon Runtime folder for all other Windows users including read authorizations!
- 3. Disable any remote access to the user **zenon_ADMIN**.
- 4. Block any software for remote maintenance or remote access such as zenon Remote Desktop.
- 5. Make sure that zenon can only be started if this user (**zenon_ADMIN**) is logged in. Since other Windows users do not have read authorization Runtime will only start in the context of this user (**zenon_ADMIN**).
- 6. Make sure that zenon runs as shell:
 - a) For this purpose, create a zenon autostart with Keyblock Runtime Start
 - b) activate the property Lock system keys in the group Runtime settings of project properties
 - c) start zenon in full-screen mode: Set property Runtime title to No title
 - d) also bear multi-screen systems in mind in the configuration
 - e) disable Explorer start



f) do not offer file selection dialogues
 (in this case no functions may be projected which require the user to select files in Runtime)

Access to the zenon file system is thus restricted.

The zenon tool **Keyblock Runtime Start** can be used to implement further protective measures by blocking the system keys.

Blocking system keys

Keyblock Runtime Start is a program with which zenon Runtime runs as a **Shell**. In doing so, zenon Runtime is started, but all **Windows** system tasks are blocked. Keyboard shortcuts such as **Windows** key or Ctrl+Alt+Del no longer have an effect. User can no longer access the operating system but only work on the zenon user interface.

The precondition for this is that the project properties are set Runtime title to No title (full screen). Then zenon runs in full screen mode and the Runtime cannot be minimized.

Note: The blocking of the **Windows** key can be circumvented. You should therefore block the **Windows** key using the corresponding entry in the **Startup Tool**

USAGE

To use **Keyblock Runtime Start**:

- 1. In the Windows start folder, under COPA-DATA, open the zenon **Tools**.
- 2. Select **Keyblock Runtime Start**.
- 3. The program is opened and automatically starts Runtime.
- 4. The program blocks all access to the operating system:
 - locked shortcuts:

```
Ctrl+Alt+Del
Ctrl+Esc
Alt+Tab
Alt+Esc
Alt+F4
Windows key (except Windows + L)
```

Notes:

When locking the system keys, the normal operation of the scroll bars with the mouse in the Runtime is also blocked. This block can be circumvented with the context menu. If the system is blocked using the keyboard shortcut **Windows + L**, All **Windows** keyboard shortcuts are available again when signing in again. To prevent this, in the **Startup Tool**

under Application -> Options -> General, deactivate the Windows key.

Hiding the Control Panel in the start menu



- Locking the toolbar for operation
- Prevents

Changing passwords

Closing Windows

Logout

Locking the computer

User change

• Hiding all element in the task manager



Information

If **Keyblock Runtime Start** is started using the startup process of the operating system, then note the following:

- The Autostart folder is user specific:
 If another user logs in, the program is not executed.
- Execution of the Autostart programs can be prevented by pressing the Shift key when the operating system is booting.

This locking cannot be bypassed during Runtime. When the Runtime is closed normally, the system restrictions are canceled. If the Runtime is to be operable without these limitations, Runtime must be started without the **Keyblock Runtime Start**.



Attention

Take care that you engineer a possibility to close the Runtime in your project. There is no possibility to end the Runtime regularly.

- It can only be ended by shutting the computer down using the hardware
- All system keys also remain blocked after restarting

In order to make system keys accessible again after not being shut down properly (in the event of a power cut for example):

- > start the Runtime again with the help of Keyblock Runtime Start
- end the Runtime regularly via a close button

4.2.2 User Administration

zenon supports a user administration for the Editor and for the online operation (Runtime). The password system meets the guidelines of the FDA (Food and Drug Administration, 21 CFR Part 11).



THE CONCEPT

The password design assumes that different users have different operating rights (password levels). Administrators also have different authorization levels. However they also have additional administration-related functions, such as administering users.

The zenon password design allows to allocate several selective (separately defined) authorization levels (operating rights) to each user. A maximum of 128 (0 to -127) authorization levels can be configured. Users can be assigned to the individual authorization levels and the attendant project-specific password design in relation to this can be created completely freely. Each user can have any level allocated. Thus e.g. user 1 can have levels 0, 1, 5 and 6 assigned and user 2 can have levels 0, 1, 6, 8 and 10 assigned. Authorizations can only be issued if the administrator has those rights himself.

A user is logged in to Runtime during online operation by activating the Login function. If the user should be logged in automatically based on an event (e.g. position of a key known to the system), the function Login without password is used. This function is projected with a limit value or a Rema of the variable in the variable management, respectively.

The Logout function is used for the independent logging out of a user. The user who is newly logged into the system is the **SYSTEM** user. If during a defined period of time there is no operation, an automatic time-triggered logout can be engineered.

For the creation and administration of users, as well as the assignment of passwords, please also note the information in the Protecting the zenon file system (on page 26) chapter:

Options in Runtime

User administration in Runtime offers different possibilities.

Windows AD or AD LDS can also be used for user administration. Users can be logged in permanently or temporarily and administered in Runtime.

Particularly important values or functions can also be protected by means of a signature. To do this, the **Signature necessary** property must be activated for the corresponding property. In this case, the user must enter their password and signature again, even if they are logged in and have the appropriate rights. In doing so, an additional entry is created in the Chronological Event List.



◬

Attention

Settings for users who are changed in the editor can only be applied if the **RT** changeable data project property (General group) allows overwriting of user properties when writing Runtime files.

Settings changed in Runtime can be applied using the **Import Runtime files** command (Runtime files toolbar) in the Editor. To do this, decompiling must be permitted in the **RT** changeable data property.

PERMANENT AND TEMPORARY LOGIN

After a permanent login, the user is permanently logged in and can carry out all operations that they are authorized to do. For actions that the user is not authorized to carry out, a message is shown accordingly.

Permanent login can be effected by means of:

- ► A screen switch to a login screen
- ► The function Login with dialog
- ▶ The Login without password function

Hint: Password-protected buttons can be made invisible for logged-in users. To do this, the **Locked** buttons property (**Project properties** -> **User Administration** -> **Login and signature**) must be configured accordingly.

Note: Temporary login is not possible for logged-in users. Logged-in users therefore do not receive a dialog to log in temporarily for functions for which they do not have sufficient authorization.

4.2.3 Encryption in the network

Data traffic in the zenon network is encrypted.

zenon enables strong encryption of communication in the zenon network. Strong encryption works from zenon Version 7.0 for all supported operating systems and for the zenon Web Client.

If encryption is active, communication between the Primary Server, Standby Server, Clients and zenon Web Clients is in encrypted form; the zenon Web Server only forwards data packets and is not affected by encryption.





Information

Network communication was also encrypted in earlier versions of zenon. The method has changed with version 7. The term "encryption" in conjunction with zenon 7 or later always means strong encryption.

Note: No encryption is available for VoIP in the **Message Control** module. This type of dispatch should therefore not be used if there is a need for security.

Basics

Encryption for zenon Runtime is available from version 7.00. It is not possible to communicate with earlier versions of zenon if encryption is switched on. Encryption does not impair any zenon functionality.

BASIC ENCRYPTION FROM ZENON 7.00

To use the strong encryption of the zenon network, note:

- ▶ The password is encrypted individually on each computer and stored in zenon6.ini. That means:
 - The password cannot be transferred by copying **zenon6.ini** to another computer.
 - If hardware components are changed, in the network adapter area in particular, the password may be invalid and need to be re-entered.
- Encryption must always be activated or deactivated for all components involved in the zenon network. Communication between encrypted and unencrypted systems is not possible. zenon
 Web Servers only act as a proxy computer and are not affected by encryption.
- If encryption is activated on a computer, it always applies for the projects of this computer with the **Network active** property active.



Information

AES 192 from Microsoft (https://msdn.microsoft.com/en-us/magazine/cc164055.aspx) is used as the encryption algorithm for network communication.

SHA 256 from Microsoft

(https://msdn.microsoft.com/en-us/library/system.security.cryptography.sha256%28v=v s.110%29.aspx) is used in order to generate the key from the entered password.

COMPATIBILITY

Encryption is not compatible with versions prior to zenon 7.00 SPO. That means:



System 1	System 2	Communication
zenon 7 encrypted	zenon 7 encrypted	Yes
zenon 7 unencrypted	zenon 7 unencrypted or zenon prior to version 7 unencrypted	Yes
zenon 7 encrypted	zenon 7 unencrypted or zenon prior to version 7 unencrypted	No

Errors are logged in the Diagnosis Viewer's LOG file.

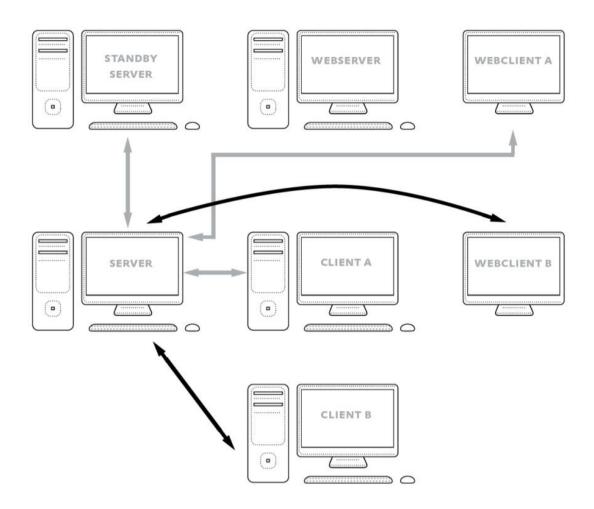
EXAMPLE

The following illustration shows an example of a network with Primary Server, Standby Server, two clients, one Web Server and two Web Clients. All devices are running zenon 7.00 SPO. The devices are configured as follows:

- Encryption is activated on the Primary Server using the Startup Tool (on page 34).
- ► Encryption is also activated on the Standby Server and client A via Remote Transport (on page 35) when Runtime files are transferred.
- ▶ Client B and Web Client B still communicate without encryption.
- ▶ On Web Client A, encryption is activated on the server using the Startup Tool (on page 34).
- ▶ Because the Web Server does not evaluate the data packets, but instead forwards these on immediately, it does not require encryption. In theory, it can also have an older version, and the Web Clients can nevertheless create encrypted connections.



This configuration leads to the following result:



- ▶ The Standby Server communicates successfully with the Primary Server.
- ▶ Client A can log in to the Primary Server and exchange data.
- ▶ Because client B sends unencrypted messages and these are rejected by the Primary Server because encryption is active, client B cannot communicate with the Primary Server and is therefore offline.
- ▶ Web Client A logs on to the server via the Web Server and can exchange data.
- The unencrypted messages from Web Client B are forwarded from the Web Server to the Primary Server, but the server rejects these. Web Client B cannot communicate with the Primary Serverr and is therefore offline.

As soon as encryption via Remote Transport or the Startup Tool configuration on client B and via **Encrypt network communication** on Web Client B is activated, these connections can also make connections to the Primary Server.



Activate encryption

Encryption can be activated in different ways:

- via the Startup Tool (on page 34) for the local computer and the Web Client
- via Remote Transport (on page 35)



For quick, easy activation of the encryption, it is recommended that the configuration is carried our on a computer using Remote Transport (on page 35).

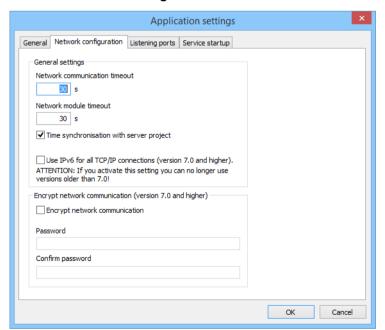
Locally via the Startup Tool

To activate encryption on the local computer or for the Web Client:

- 1. Open the zenon **Startup Tool**.
- 2. Click Application -> Options.

The dialog for the settings is opened.

3. Select the **Network configuration** tab.



- 4. Activate the Encrypt network communication. checkbox
- 5. Enter the password and verify it.
- 6. Confirm the dialog by clicking on **OK**.



Via Remote Transport

Encryption can be activated on remote computers using Remote Transport. However, this is only possible if the Remote Transport connection is protected with a password.

To activate encryption using Remote Transport:

 Click on the corresponding button in the Remote Transport toolbar or select, in the project's context menu: Set up Remote Transport> connection.

The dialog for setting up the connection is opened



- 2. Enter the connection password or create one, if none has been set
- 3. Activate the Configure encryption of network communication checkbox
- 4. Click on OK.

The dialog for encryption of network communication is opened



- 5. Activate the Encrypt network communication checkbox
- Issue a password (for criteria, see the network password encryption section.)
 To quickly transfer the local configuration to other computers, the local password can first be read via Read local configuration.
- 7. Confirm the dialog by clicking on the **OK** button.



4.2.4 zenon API

The zenon API allows access to zenon by means of VBA and VSTA. Individual extensions can thus be programmed and many procedures can be further automated. In sensitive environments, this access constitutes a security risk and can be prevented in part.

Note: zenon does not support DCOM. For this reason, a remote access to the API is not possible.



Information

With the key combination Ctrl+Pause (BREAK) a running code can be interrupted and the VBA editor accessed this way. If you use VBA or VSTA in the project disable the function BREAK.

DEACTIVATING THE SENDING OF EVENTS

To deactivate the sending of COM events:

- 1. Open the zenon6.ini.
- 2. Navigate to the section [VBA].
- 3. Enter the value 0 for the **EVENT=** key word.

 The sending of Events to external applications is thus prevented.

4.2.5 IEC 61850

zenon supports the IEC 61850 protocol as well as OPC UA including security features.

4.2.6 Voice over IP - Message Control

In the zenon Message Control module, the Voice over IP (VoIP) dispatch type is also available. It is only for the sending of messages. No incoming calls are taken.



Attention

There is no encryption available for VoIP. This type of dispatch should therefore not be used if there is a need for security.



4.3 Editor

The concept of zenon allows the operation of Runtime and the Editor on separate computers. Often, the security of systems on which Runtime is running is rated as more important than that of Editor systems. However both must be rated as equally important.

Background: An attacker who has managed to get into a production network and discover, for example, a PLC with Modbus, cannot detect which processes and values are behind it using the Coils, Holding Registers or Inputs. A PLC program, technical illustrations or even also the HMI/SCADA software offer attackers the required information under certain circumstances.

4.3.1 Encryption

The zenon Editor can - just like zenon Runtime - transmit the data in the network in encrypted form. Activate this encryption. You can find details in the section Encryption in the network (on page 30).

4.3.2 Editor computer without distributed engineering

If the zenon is only installed on one computer as an Editor and the project configuration is carried out on this computer, we recommend the following checks and changes after installation:

- ► SQL:
 - Change the standard password for the SQL user sa.
 - Change the standard password for the SQL user zenonsrv both in the SQL server instance and using the Startup Tool.
 - Attention: For systems that use **distributed engineering**, the same password must be used for the user **zenonsrv** on the server and clients
 - Deactivate the SQL browser service on computers with the zenon Editor, if this is not needed by other SQL server instances on this computer.
 - Deactivate the remote access to the SQL server.
 Note: Systems with distributed engineering need remote access.
- ▶ Limit the execution of the Editor and Runtime to precisely-defined users.
 - In addition to the backup of the zenon projects using the user administration, it is also possible to use the Windows security settings to determine which users can execute the zenon Editor.
- ▶ Do not close the required ports (on page 18).



- ► Activate network encryption and set the password according to your Runtime systems, provided you use Remote Transport.
- ► Check the firewall exceptions (on page 16) that are added during installation. Remove exceptions for applications that are not used.

4.3.3 Network: Multi Homed

Prohibit operation of several networks on one computer.

Dual-Homed or Multi-Homed computers are a popular target for attackers. They can very often easily be configured to a bridge and then allow direct access from one network to another network. Existing firewalls are thus easily circumvented. Because a computer with the Editor is not always assigned to production, it may happen that such a computer is both connected to the company network and also to the production network. This configuration is unsafe and must be avoided.

4.3.4 Project backup and workspace backup

Project backups and workspace backups should only be restored from trustworthy sources.

Encrypt copies of project backups or workspace backups before transport or storage at a central location. If necessary, use the additional functions or additional software. Before restoring to the Editor, the backup must be restored from the encrypted copy.

4.3.5 History of Changes

Activate the revision history, so that changes can be logged in the project. Set a password so that the change history cannot be deactivated without authorization. It usually makes sense to activate the revision history only once the equipment has been supplied.

4.3.6 Project versioning with XML export

Activate the project versioning in the project with the XML export option. The project backups also contain the XML export. This allows a further comparison between project backups and thus also incremental restoration of individual components.



4.3.7 User Administration

Use the user administration in the Editor too.

You can thus prevent unauthorized loading of a project and link the editing to authorization levels granularly.

4.3.8 Runtime tests

Use the driver simulation for Runtime tests.

4.3.9 Import from variables

The online import of variables from the PLC directly replaces a connection between the Editor computer and the PLC. The use of a "third-party" computer in the production network that was also used in other networks constitutes a risk.

You should therefore use, if possible, offline import or an Editor computer that is a fixed part of the production network. Otherwise use a test environment for online import and check the PLC before this is integrated into the production network again.

4.4 PLC communication

With most protocols for communication with controllers, there is no possibility to encrypt the data used or to check the authentication. Many controllers also do not themselves offer the possibility to also encrypt the transport channel.

A SCADA system or a PLC thus has no possibility to check whether communication is actually taking place directly or if there is a compromised computer between them (man in the middle), which an attacker can use to view and also modify the data if they know the protocol.

For communication via Ethernet, use Switches, that have **Port Security** if possible, thus preventing the diversion of communication via a compromised computer or making it more difficult. Intrusion Detection systems can also monitor ARP or NDP and report attempts to divert communication.



4.5 Monitoring devices with SNMP

zenon offers an **SNMP_NG** driver that supports **SNMPv1**, **SNMPv2** and **SNMPv3**. This driver can be used on networked computers to monitor the devices connected to Runtime, such as Runtime clients or the PLC and to display and monitor the status of network components such as switches or network printers.

READING SNMP AGENTS - RECEIVING TRAPS

The **SNMP_NG** driver can read data from SNMP-compatible devices. It can use the ping status to establish whether the end device can be reached using the ICMP protocol and receive SNMP traps.

PING STATUS POSITIVE

The SNMP_NG driver can be used not just to read devices via SNMP, but also to cyclically ping devices connected to the network that are not SNMP agents. The result can be evaluated in Runtime and an alarm can be triggered if a device no longer responds.

PING STATUS NEGATIVE: SECURITY ZONES - DMZ - COMPANY NETWORK - INTERNET

The ping status can also be used for negative tests. If a networked computer is used together with zenon Runtime in an environment in which no connection to other security zones, the DMZ, the company network or to the Internet is to be possible, the ping status of the SNMP_NG driver can also be monitored cyclically. In the case the ping should always fail. If there is nevertheless a response to a ping, an alarm can be triggered accordingly.

WINDOWS EVENTS AS SNMP TRAPS

The Windows event logs log much information about the local system. Messages that may be relevant to security are also logged there. However, if these messages are not collected and checked centrally, important notices and early warnings may be lost under certain circumstances. Events from the Windows event logs cannot be read into zenon directly. However this is possible with the Windows standard function and the SNMP_NG driver.

Windows offers an SNMP agent that can be activated as configured as a service using the Control Panel. With this service, the local computer can be configured as a trap recipient. The **evntwin.exe** (Event to Trap Translator) program can then be used to generate an SNMP trap for any desired Windows events. The SNMP traps can then be created in zenon as a trap variable. The reading of an initial value is not possible for this trap, however it is possible to set an alarm in Runtime if a certain Windows event is generated and a corresponding trap is received.

Non-networked computers can also be monitored this way. It is not just the local computer that can be configured as a trap, but also other computers in the network. The central monitoring of networked computers is thus possible via zenon Runtime and the SNMP_NG driver, even for Windows computers on which zenon Runtime is not installed.



Additional information:

- Security check and attack detection: https://msdn.microsoft.com/en-us/library/cc875806.aspx
 https://msdn.microsoft.com/en-us/library/cc875806.aspx
- ► Event log monitoring: http://www.redblue.team/2015/09/spotting-adversary-with-windows-event.html http://www.redblue.team/2015/09/spotting-adversary-with-windows-event.html

5. Further information and consulting

COPA-DATA can only provide support for the configuration of our own products. For general questions regarding security in IT, operating systems, networks etc. please contact your IT consultant.

For questions regarding the security in zenon please contact the COPA-DATA Consulting, either via the phone number stated in your service contract or via e-mail to support@copadata.com (mailto:support@copadata.com).